


FORM 1449 	SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT	
	IN AN APPLICATION	
	(Use several sheets if necessary)	
	Docket Number: GUID.124PA	Application Number: 10/824,776
Applicant: LEE et al.		Filing Date: 04/15/2004
		Group Art Unit: 3735

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4702253	10/27/1987	Nappholz et al.			
	4721110	01/26/1988	Lampadius			
	4807629	02/22/1989	Baudino et al.			
	4836219	06/06/1989	Hobson et al.			
	4856524	08/15/1989	Baker Jr.			
	4886064	12/12/1989	Strandberg			
	4928688	05/29/1990	Mower			
	4958632	09/25/1990	Duggan			
	4972842	11/27/1990	Korten et al.			
	5010888	04/30/1991	Jadvar et al.			
	5024222	06/18/1991	Thacker			
	5047930	09/10/1991	Martens et al.			
	5063927	11/12/1991	Webb et al.			
	5105354	04/14/1992	Nishimura			
	5156157	10/20/1992	Valenta Jr. et al.			
	5188106	02/23/1993	Nappholz et al.			
	5243979	09/14/1993	Stein et al.			
	5245995	09/21/1993	Sullivan et al.			
	5275159	01/04/1994	Griebel			
	5280791	01/25/1994	Lavie			
	5299118	03/29/1994	Martens et al.			
	5301677	04/12/1994	Hsung			
	5313953	05/24/1994	Yomtov et al.			
	5318597	06/07/1994	Hauck et al.			
	5334222	08/02/1994	Salo et al.			
	5386578	02/14/1995	Yomtov et al.			
	5404877	04/11/1995	Nolan et al.			
	5411031	05/02/1995	Yomtov			

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

FORM T-449 SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)		Docket Number: GUID.124PA	Application Number: 10/824,776
		Applicant: LEE et al.	
		Filing Date: 04/15/2004	Group Art Unit: 3735

5487755	01/30/1996	Snell et al.			
5520176	05/28/1996	Cohen			
5540732	07/30/1996	Testerman			
5540734	07/30/1996	Zabara			
5545186	08/13/1996	Olson et al.			
5591216	01/07/1997	Testerman et al.			
5593431	01/14/1997	Sheldon			
5622178	04/22/1997	Gilham			
5632281	05/27/1997	Rayburn			
5697951	12/16/1997	Harpstead et al.			
5704345	01/06/1998	Berthon-Jones			
5713933	02/03/1998	Condie et al.			
5716377	02/10/1998	Rise et al.			
5720771	02/24/1998	Snell			
5738102	04/14/1998	Lemelson			
5792188	08/11/1998	Starkweather et al.			
5794615	08/18/1998	Estes			
5802188	09/01/1998	McDonough			
5855593	01/05/1999	Olson et al.			
5876353	03/02/1999	Riff			
5902250	05/11/1999	Verrier et al.			
5957861	09/28/1999	Combs et al.			
5964778	10/12/1999	Fugoso et al.			
5970975	10/26/1999	Estes et al.			
5974349	10/26/1999	Levine			
5981011	11/09/1999	Overcash et al.			
6021351	02/01/2000	Kadhiresan et al.			
6026320	02/15/2000	Carlson et al.			
6044297	03/28/2000	Sheldon et al.			
6059725	05/09/2002	Steinschneider			
6091986	07/18/2000	Keimel			
6141581	10/31/2000	Olson et al.			

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

FORM 1449* SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: GUID.124PA	Application Number: 10/824,776
	Applicant: LEE et al.	
	Filing Date: 04/15/2004	Group Art Unit: 3735

6148814	11/21/2000	Clemmer et al.			
6181961	01/30/2001	Prass			
6190326	02/20/2001	McKinnon et al.			
6200265	03/13/2001	Walsh et al.			
6212435	04/03/2001	Lattner et al.			
6258039	07/10/2001	Okamoto et al.			
6259947	07/10/2001	Olson et al.			
6263244	07/17/2001	Mann et al.			
6264606	07/24/2001	Ekwall et al.			
6272377	08/07/2001	Sweeney et al.			
6285907	09/04/2001	Kramer et al.			
6286508	09/11/2001	Remmers et al.			
6303270	10/16/2001	Naim			
6306088	10/23/2001	Krausman			
6310085	10/30/2001	Willis			
6351669	02/26/2002	Hartley et al.			
6351670	02/26/2002	Kroll			
6353759	03/05/2002	Hartley et al.			
6360127	03/19/2002	Ding et al.			
6361494	03/26/2002	Lindenthaler			
6366813	04/02/2002	DiLorenzo			
6368287	04/09/2002	Hadas			
6371922	04/16/2002	Baumann et al.			
6375621	04/23/2002	Sullivan			
6387907	05/14/2002	Hendricks et al.			
6411848	06/25/2002	Kramer et al.			
6411850	06/25/2002	Kay et al.			
6414183	07/02/2002	Sakamoto et al.			
6424865	07/23/2002	Ding			
6438407	08/20/2002	Ousdigian et al.			
6438410	08/20/2002	Hsu et al.			
6449503	09/10/2002	Hsu			

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

*Substitute Disclosure Statement Form (PTO-1449)

FORM 1449* SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: GUID.124PA	Application Number: 10/824,776
	Applicant: LEE et al.	
	Filing Date: 04/15/2004	Group Art Unit: 3735

	6450957	09/17/2002	Yoshimi			
	6454719	09/24/2002	Greenhut			
	6467333	10/22/2002	Lewis et al.			
	6480733	11/12/2002	Turcott			
	6487443	11/26/2002	Olson et al.			
	6527729	03/04/2003	Turcott			
	6542775	04/01/2003	Ding et al.			
	6580944	06/17/2003	Katz et al.			
	6595928	07/22/2003	Mansy et al.			
	6597951	07/22/2003	Kramer et al.			
	6600949	07/29/2003	Turcott			
	6658292	12/02/2003	Kroll et al.			
	6662032	12/09/2003	Gavish et al.			
	6694186	02/17/2004	Bardy			
	6704590	03/09/2004	Haldeman			
	6708058	03/16/2004	Kim et al.			
	6731984	05/04/2004	Cho et al.			
	6741885	05/25/2004	Park et al.			
	6748252	06/08/2004	Lynn et al.			
	6752765	06/22/2004	Jensen et al.			
	6752766	06/22/2004	Kowalik et al.			
	6810287	10/26/2004	Zhu et al.			
	6830548	12/14/2004	Bonnet et al.			
	6881192	04/19/2005	Park			
	6890306	05/10/2005	Poezevera			
	6892095	05/10/2005	Salo			
	6904320	06/07/2005	Park et al.			
	6910481	06/28/2005	Kimmei et al.			
	6928324	08/09/2005	Park et al.			
	6988498	01/24/2006	Berthon-Jones et al.			
	7025729	04/11/2006	de Chazal et al.			
	7027871	04/11/2006	Burnes et al.			

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

*Substitute Disclosure Statement Form (PTO 1449)

FORM 1449* SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: GUID.124PA	Application Number: 10/824,776
	Applicant: LEE et al.	
	Filing Date: 04/15/2004	Group Art Unit: 3735

	7039468	05/02/2006	Freed et al.			
	7062308	06/13/2006	Jackson			
	7081095	07/25/2006	Lynn et al.			
	7089936	08/15/2006	Madaus et al.			
	7092755	08/15/2006	Florio			
	7130687	10/31/2006	Cho et al.			
	7136704	11/14/2006	Schulman			
	7155278	12/26/2006	King et al.			
	7184817	02/27/2007	Zhu et al.			
	7189204	03/13/2007	Ni et al.			
	7194313	03/20/2007	Libbus			
	7207945	04/24/2007	Bardy			
	7225013	05/29/2007	Geva et al.			
	7225021	05/29/2007	Park et al.			
	7225809	06/05/2007	Bowen et al.			
	7231250	06/12/2007	Band et al.			
	7252640	08/07/2007	Ni et al.			
	7269459	09/11/2007	Koh			
	7302295	11/27/2007	Stahmann et al.			
	20010000346	04/19/2001	Ruton et al.			
	20010018547	08/30/2001	Mechlenburg et al.			
	20010031930	10/18/2001	Roizen et al.			
	20020082652	06/27/2002	Wentkowski et al.			
	20020143264	10/03/2002	Ding et al.			
	20020169384	11/14/2002	Kowallik et al.			
	20020169485	11/14/2002	Pless et al.			
	20020193685	12/19/2002	Mate et al.			
	20020193697	12/19/2002	Cho et al.			
	20030050538	03/13/2003	Naghavi et al.			
	20030055348	03/20/2003	Chazal et al.			
	20030060848	03/27/2003	Kieval et al.			
	20030073919	04/17/2003	Hampton et al.			

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

*Substitute Disclosure Statement Form (PTE 1449)

FORM 1449* SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: GUID.124PA	Application Number: 10/824,776
	Applicant: LEE et al.	
	Filing Date: 04/15/2004	Group Art Unit: 3735

	20030083241	05/01/2003	Young			
	20030088027	05/08/2003	Chin et al.			
	20030105497	06/05/2003	Zhu et al.			
	20030121519	07/03/2003	Estes et al.			
	20030139780	07/24/2003	Markowitz et al.			
	20030171687	09/11/2003	Irie et al.			
	20030178031	09/25/2003	Du Pen et al.			
	20030187336	10/02/2003	Odagiri et al.			
	20030209246	11/13/2003	Schroeder et al.			
	20030216789	11/20/2003	Deem et al.			
	20040039605	02/26/2004	Bardy			
	20040073093	04/15/2004	Hatlestad			
	20040111040	06/10/2004	Ni et al.			
	20040116981	06/17/2004	Mazar			
	20040122487	06/24/2004	Hatlestad et al.			
	20040122488	06/24/2004	Mazar et al.			
	20040128161	07/01/2004	Mazar et al.			
	20040133079	07/08/2004	Mazar et al.			
	20040163648	08/26/2004	Burton			
	20040176809	09/09/2004	Cho et al.			
	20040186523	09/23/2004	Florio			
	20040210154	10/21/2004	Kline			
	20040210155	10/21/2004	Takemura et al.			
	20040210261	10/21/2004	King et al.			
	20040215240	10/28/2004	Lovett et al.			
	20040230229	11/18/2004	Lovett et al.			
	20040230230	11/18/2004	Lindstrom			
	20040249416	12/09/2004	Yun et al.			
	20050004615	01/06/2005	Sanders			
	20050039745	02/24/2005	Stahmann et al.			
	20050042589	02/24/2005	Hatlestad et al.			
	20050043644	02/24/2005	Stahmann et al.			

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

FORM 1449* SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT	Docket Number: GUID: 124PA	Application Number: 10/824,776
IN AN APPLICATION (Use several sheets if necessary)	Applicant: LEE et al.	
	Filing Date: 04/15/2004	Group Art Unit: 3735

	20050043652	02/24/2005	Lovett et al.			
	20050043772	02/24/2005	Stahmann et al.			
	20050061315	03/24/2005	Lee et al.			
	20050065567	03/24/2005	Lee et al.			
	20050065572	03/24/2005	Hartley et al.			
	20050101841	05/12/2005	Kaylor et al.			
	20050119711	06/02/2005	Cho et al.			
	20050142070	06/30/2005	Hartley et al.			
	20050145246	07/07/2005	Hartley et al.			
	20050159784	07/21/2005	Arceta			
	20050240240	10/27/2005	Park et al.			
	20050288728	12/29/2005	Libbus et al.			
	20060047333	03/02/2006	Tockman et al.			
	20060293714	12/28/2006	Salo et al.			
	20070005114	01/04/2007	Salo et al.			
	20070112388	05/17/2007	Salo			
	20070150014	06/28/2007	Kramer et al.			
	20070161873	07/12/2007	Ni et al.			
	20070282215	12/06/2007	Ni et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	EP0750920	01/02/1997	EP			X (title & claims)	
	EP0770407	05/02/1997	EP				X
	EP1162125	12/12/2001	EP			N/A	
	EP1317943	6/11/2003	EP				X
	WO0017615	3/30/2000	WO			N/A	
	WO03075744	9/18/2003	WO			N/A	
	WO04062485	07/29/2004	WO			N/A	
	WO05028029	03/31/2005	WO			N/A	

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

*Substitute Disclosure Statement Form (PDS-1449)

FORM 1449* SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: GUID: 124PA	Application Number: 10/824,776
	Applicant: LEE et al.	
	Filing Date: 04/15/2004	Group Art Unit: 3735

	WO8402080	06/07/1984	WO			N/A	
	WO9203983	03/19/1992	WO			N/A	
	WO9904841	2/4/1999	WO			N/A	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

1980	Aircraft Noise and Sleep Disturbance: Final Report, prepared by the Civil Aviation Authority London on behalf of the Department of Trade, August 1980 (CAA Report)
1958	Altshule et al. The Effect of Position on Periodic Breathing in Chronic Cardiac Decomposition. <i>New Eng. Journal of Med.</i> Vol. 259, No. 22, pages 1064-1066, 11/27/1958. NO COPY
1964	Bilgutay et al. A new concept in the treatment of hypertension utilizing an implantable electronic device: Baropacer." <i>Trans. Am. Society Artificial Internal Organs</i> . 1964. Vol. 10, pp. 387-393. (No copy available)
2003	Bradley et al., Sleep Apnea and Heart Failure, Part I: Obstructive Sleep Apnea, 107 <i>Circulation</i> 1671-1678, 2003.
1961	Coleridge et al. "The distribution, connexions and histology of baroreceptors in the pulmonary artery, with some observations on the sensory innervation of the ductus arteriosus." <i>Physiology</i> . May 1961. Vol. 156, pp. 591-602.
1987	Dark et al., Breathing Pattern Abnormalities and Arterial Oxygen Desaturation During Sleep in the Congestive Heart Failure Syndrome, <i>Chest</i> , 06/1987, 6:833-6.
1990	Hoffman et al., Cheyne-Stokes Respiration in Patients Recovering from Acute Cardiogenic Pulmonary Edema, <i>Chest</i> 1990, 97:410-12.
1999	Jinwu et al. Posture Detection Algorithm Using Multi-Axis DC Accelerometer. <i>Pace</i> Vol. 22, 04/1999, no copy available.
1949	Neil et al., Effects of electrical stimulation of the aortic nerve on blood pressure and respiration in cats and rabbits under chloralose and nembutal anaesthesia, <i>Journal of Physiology</i> , Sept. 1949. Vol. 109 (3-4) p. 392-401.
1989	Peters et al., Temporal and spatial summation caused by aortic nerve stimulation in rabbits. Effects of stimulation frequencies and amplitudes. <i>Journal of the Autonomic Nervous System</i> . 1989. Vol. 27, pp. 193-203 Abstract only.
1979	Rees et al., Paroxysmal Nocturnal Dyspnoea and Periodic Respiration, <i>The Lancet</i> , Dec. 22-29, 1979, pages 1315-1317, Abstract only
1999	Sato et al., Novel Therapeutic Strategy against Central Baroreflex Failure: A Bionic Baroreflex System, <i>Circulation</i> , July 1999. Vol. 100, pp. 299-304
1991	Satoh et al., Role of Hypoxic Drive in Regulation of Postapneic Ventilation During Sleep in Patients with Obstructive Sleep Apnea, <i>Am Rev Respir Dis</i> , 1991 Mar. 143 (3): 481-483 No copy available.
2001	Smits et al., Defibrillation Threshold (DFT) Model of a Fully Subcutaneous ICD System, <i>Europace Supplements</i> , Vol. 2, June 2001 at column 778, page B83.
	Spector et al., Assessing and Managing Dyspnea, The University of Chicago Hospitals. <i>Nursing Spectrum-Career Fitness Online</i> . Self-Study Modules. pp. 1-13. http://nsweb.nursingspectrum.com/ .
2002	Steltner et al., Diagnosis of Sleep Apnea by Automatic Analysis of Nasal Pressure and Forced Oscillation Impedance. <i>Am. Journal Respiratory Critical Care Medicine</i> , Vol. 165, pp. 940-944, 2002.
1986	Stirbis et al., Optimizing the Shape of Implanted Artificial Pacemakers, Kaunas Medical Institute. Translated from <i>Meditsinskaya Tekhnika</i> , No. 6, pp. 25-27, 1986.
2002	Thrasher et al., Unloading arterial baroreceptors causes neurogenic hypertension, <i>American Journal Physiol. Regulatory Integrative Comp. Physiol.</i> , 2002. Vol. 282, R1044-R1053.
1997	Placova et al., Left Ventricular Volume in Patients with Heart Failure and Cheyne-Stokes Respiration during Sleep, <i>Am.</i>

EXAMINER	/Karen Toth/	DATE CONSIDERED	08/14/2008
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.			

*Substitute Disclosure Statement Form (PTO 1449)

FORM 1449* SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: GUID: 124PA	Application Number: 10/824,776
	Applicant: LEE et al.	
	Filing Date: 04/15/2004	Group Art Unit: 3735

WO8402080	06/07/1984	WO				N/A
WO9203983	03/19/1992	WO				N/A
WO9904841	2/4/1999	WO				N/A

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

1980	Aircraft Noise and Sleep Disturbance: Final Report, prepared by the Civil Aviation Authority London on behalf of the Department of Trade, August 1980 (CAA Report)
1958	Altshuler et al., The Effect of Position on Periodic Breathing in Chronic Cardiac Decomposition, New Eng. Journal of Med., Vol. 239, No. 22, pages 1064-1066, 11/27/1958. NO COPY
1964	Bilgutay et al. A new concept in the treatment of hypertension utilizing an implantable electronic device: Baropacer." <u>Trans. Am. Society Artificial Internal Organs</u> . 1964. Vol. 10, pp. 387-395. (No copy available)
2003	Bradley et al., Sleep Apnea and Heart Failure, Part I: Obstructive Sleep Apnea, 107 Circulation 1671-1678, 2003.
1961	Coleridge et al. "The distribution, connexions and histology of baroreceptors in the pulmonary artery, with some observations on the sensory innervation of the ductus arteriosus." <u>Physiology</u> , May 1961. Vol. 156, pp. 591-602.
1987	Dark et al., Breathing Pattern Abnormalities and Arterial Oxygen Desaturation During Sleep in the Congestive Heart Failure Syndrome, Chest, 06/1987, 6:833-6.
1990	Hoffman et al., Cheyne-Stokes Respiration in Patients Recovering from Acute Cardiogenic Pulmonary Edema, Chest 1990, 97:410-12.
1999	Junyu et al., Posture Detection Algorithm Using Multi Axis DC-Accelerometer, Pace Vol 22, 04/1999, no copy available.
1949	Neil et al., Effects of electrical stimulation of the aortic nerve on blood pressure and respiration in cats and rabbits under chloralose and nembutal anaesthesia. <u>Journal of Physiology</u> , Sept. 1949. Vol. 109 (3-4) p. 392-401.
1989	Peters et al., Temporal and spatial summation caused by aortic nerve stimulation in rabbits. Effects of stimulation frequencies and amplitudes. <u>Journal of the Autonomic Nervous System</u> . 1989. Vol. 27, pp. 193-205 Abstract only.
1979	Rees et al., Paroxysmal Nocturnal Dyspnoea and Periodic Respiration, The Lancet, Dec. 22-29, 1979, pages 1315-1317, Abstract only
1999	Sato et al., Novel Therapeutic Strategy against Central Baroreflex Failure: A Bionic Baroreflex System, <u>Circulation</u> , July 1999 Vol. 100, pp. 299-304
1991	Sato et al., Role of Hypoxic Drive in Regulation of Postapneic Ventilation During Sleep in Patients with Obstructive Sleep Apnea, <u>Am Rev Respir Dis</u> , 1991 Mar. 143 (3): 481-485 No copy available.
2001	Smits et al., Defibrillation Threshold (DFT) Model of a Fully Subcutaneous ICD System, <u>Europace Supplements</u> , Vol. 2, June 2001 at column 778, page B83.
	Specht et al., Assessing and Managing Dyspnea, The University of Chicago Hospitals. Nursing Spectrum-Career Fitness Online. Self-Study Modules. pp. 1-13. http://nsweb.nursingspectrum.com/ .
2002	Stelner et al., Diagnosis of Sleep Apnea by Automatic Analysis of Nasal Pressure and Forced Oscillation Impedance. <u>Am. Journal Respiratory Critical Care Medicine</u> , Vol.165, pp. 940-944, 2002.
1986	Stirbis et al., Optimizing the Shape of Implanted Artificial Pacemakers, Kaunas Medical Institute. Translated from Meditsinskaya Tekhnika, No. 6, pp. 25-27, 1986.
2002	Thrasher et al., Unloading arterial baroreceptors causes neurogenic hypertension, <u>American Journal Physiol. Regulatory Integrative Comp. Physiol</u> , 2002 Vol. 282, R1044-R1053.
1997	Tkacova et al., Left Ventricular Volume in Patients with Heart Failure and Cheyne-Stokes Respiration during Sleep, <u>Am.</u>

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	